



3628
42

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Timothy Orr Knight

STOCK TRADING APPLICATION PROGRAM

Docket No.: 0006-003002
Filed: August 31, 2001
Examiner: Siegfried E. Chencinski

Serial No.: 09/945,184
Due Date: N/A
Group Art Unit: 3628

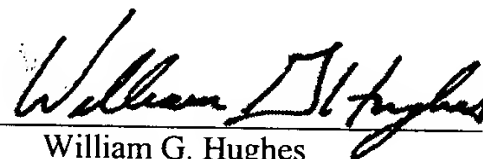
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

We are transmitting herewith the following attached items (as indicated with an "X"):

- ☒ A return postcard and this transmittal document.
- ☒ A Supplemental Information Disclosure Statement (1 pg.), Form 1449 (1 pg.). Documents NOT enclosed.

Customer Number: 53666

By

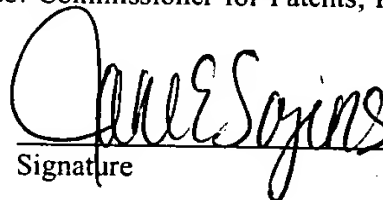


William G. Hughes
Reg. No. 46,112

CERTIFICATE UNDER 37 CFR 1.8: The undersigned hereby certifies that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail, in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on this 10 day of August, 2005.

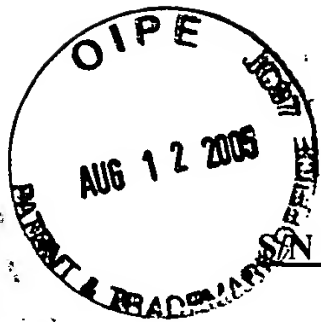
Jane E. Sagers

Name



Signature

(GENERAL)



09/945,184

PATENTIN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Timothy Orr Knight
Serial No.: 09/945,184
Filed: August 31, 2001
Title: STOCK TRADING APPLICATION PROGRAM

Examiner: Siegfried E. Chencinski
Group Art Unit: 3628
Docket: 0006-003002

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

In compliance with the duty imposed by 37 C.F.R. § 1.56, and in accordance with 37 C.F.R. §§ 1.97 *et. seq.*, the referenced materials are brought to the attention of the Examiner for consideration in connection with the above-identified patent application. The referenced material are of record in related cases 09/173,853, 09/945,099 and 09/944,624. Applicant respectfully requests that this Supplemental Information Disclosure Statement be entered and the documents listed on the attached Form 1449 be considered by the Examiner and made of record. Pursuant to the provisions of MPEP 609, Applicant requests that a copy of the 1449 form, initialed as being considered by the Examiner, be returned to the Applicant with the next official communication. Pursuant to 37 C.F.R. §1.97(b), it is believed that no fee or statement is required with the Supplemental Information Disclosure Statement.

Pursuant to 37 C.F.R. 1.98(a)(2), Applicant believes that copies of cited U.S. Patents and Published Applications are no longer required to be provided to the Office. Notification of this change was provided in the United States Patent and Trademark Office OG Notices dated October 12, 2004. Thus, Applicant has not included copies of any US Patents or Published Applications cited with this submission. Should the Office require copies to be provided, Applicant respectfully requests that notice of such requirement be directed to Applicant's below-signed representative. Applicant acknowledges the requirement to submit copies of foreign patent documents and non-patent literature in accordance with 37 C.F.R. 1.98(a)(2).

The Examiner is invited to contact the Applicant's Representative at the below-listed telephone number if there are any questions regarding this communication.

Respectfully submitted,
Brake Hughes PLC
Customer No. 53666
Phone 703-286-5303

Date August 10, 2005 By William G. Hughes
William G. Hughes
Reg. No. 46,112

CERTIFICATE UNDER 37 CFR 1.8: The undersigned hereby certifies that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail, in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on this 10 day of August, 2005.

Jane E. Sagers
Name

Jane E. Sagers
Signature



Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete if Known

Application Number

09/945,184

Filing Date

August 31, 2001

First Named Inventor

Knight, Timothy

Group Art Unit

3628

Examiner Name

Siegfried E. Chencinski

Sheet 1 of 1

Attorney Docket No: 0006-003002

US PATENT DOCUMENTS

Examiner Initial *	Cite No. *	USP Document Number	Publication Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		US-20020069190A1	06/06/2002	Geiselhart, Reinhold	
		US-20020087388A1	07/04/2002	Keil, Sev , et al.	
		US-20020165759A1	11/07/2002	Gruber, Harry , et al.	
		US-20040015387A1	01/22/2004	Nishikawa, Akihito	
		US-20040073476A1	04/15/2004	Donahue, Derek , et al.	
		US-5,583,763	12/10/1996	Atcheson, J. , et al.	
		US-5,819,028	10/06/1998	Manghirmalani, Ravi , et al.	
		US-5,845,266	12/01/1998	Lupien, William	
		US-5,870,090	02/09/1999	Takai, Yasuyuki , et al.	
		US-5,918,216	06/29/1999	Mikovsky, Jan T. , et al.	
		US-5,923,307	07/13/1999	Hogle, IV, Francis M.	
		US-5,923,327	07/13/1999	Smith, C. D. , et al.	
		US-5,956,019	09/21/1999	Bang, Eric S. , et al.	
		US-5,977,973	11/02/1999	Sobeski, David A. , et al.	
		US-5,982,302	11/09/1999	Ure, Michael J.	
		US-5,999,177	12/07/1999	Martinez, A. E.	
		US-6,012,042	01/04/2000	Black, T. K. , et al.	
		US-6,026,399	02/15/2000	Kohavi, Ron , et al.	
		US-6,028,601	02/22/2000	Machiraju, N. , et al.	
		US-6,055,514	04/25/2000	Wren, Stephen	
		US-6,064,984	05/16/2000	Ferguson, Mark , et al.	
		US-6,101,484	08/08/2000	Halbert, Richard , et al.	
		US-6,119,101	09/12/2000	Peckover, Douglas	
		US-6,189,019	02/13/2001	Blumer, Thomas P. , et al.	
		US-6,208,339	03/27/2001	Atlas, Marshall , et al.	
		US-6,237,006	05/22/2001	Weinberg, Amir , et al.	
		US-6,313,833	11/06/2001	Knight, T. O.	
		US-6,430,542	08/06/2002	Moran, William	
		US-6,460,036	10/01/2002	Herz, F. S M.	
		US-6,484,152	11/19/2002	Robinson, Herbert W.	
		US-6,510,419	01/21/2003	Gatto, Joseph	
		US-6,539,361	03/25/2003	Richards, Bruce , et al.	
		US-6,556,989	04/29/2003	Naimark, Michael , et al.	
		US-6,601,044	07/29/2003	Wallman, Steven	
		US-6,714,929	03/30/2004	Michaelian, Fadi , et al.	
		US-6,778,193	08/17/2004	Biebesheimer, Debra , et al.	
		US-6,803,926	10/12/2004	Lamb, Steven , et al.	

EXAMINER

DATE CONSIDERED

Substitute Disclosure Statement Form (PTO-1449)

* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional) 2 Applicant is to place a check mark here if English language Translation is attached